The algebraic structure of quantum effects

John van de Wetering Radboud University Nijmegen Oxford University

July 14, 2021

In this talk

- Hilbert spaces and quantum logic.
- From orthomodular lattices to effect algebras.
- Some cool things you can do with effect algebras.

Hilbert spaces and quantum logic

- \blacktriangleright Quantum system is modelled by complex Hilbert space $\mathcal{H}.$
- Propositions modelled by closed subspaces.
- Equivalently: positive idempotent operators $P : \mathcal{H} \to \mathcal{H}$.
- What is the structure of the set of projections?

- Projections ordered by $P \leq Q \iff PQ = P$.
- Minimal element 0, maximal element 1.

- Projections ordered by $P \leq Q \iff PQ = P$.
- Minimal element 0, maximal element 1.
- It is in fact a complete lattice.

- Projections ordered by $P \leq Q \iff PQ = P$.
- Minimal element 0, maximal element I.
- It is in fact a complete lattice.
- Negation: $P^{\perp} := I P$.
- Ortholattice: $(P \land Q)^{\perp} = P^{\perp} \lor Q^{\perp}$.

- Projections ordered by $P \leq Q \iff PQ = P$.
- Minimal element 0, maximal element I.
- It is in fact a complete lattice.
- Negation: $P^{\perp} := I P$.
- Ortholattice: $(P \land Q)^{\perp} = P^{\perp} \lor Q^{\perp}$.
- Orthomodularity: $P \leqslant Q \implies P \lor (P^{\perp} \land Q) = Q$.

- Projections ordered by $P \leq Q \iff PQ = P$.
- Minimal element 0, maximal element I.
- It is in fact a complete lattice.
- Negation: $P^{\perp} := I P$.
- Ortholattice: $(P \land Q)^{\perp} = P^{\perp} \lor Q^{\perp}$.
- Orthomodularity: $P \leqslant Q \implies P \lor (P^{\perp} \land Q) = Q$.

This is the 'classical' description of quantum logic. But what if we want to allow fuzziness?

Effect algebras

The *effects* of a Hilbert space are $A : \mathcal{H} \to \mathcal{H}$ with $0 \le A \le I$. These model generic (noisy) measurements of a quantum system.

Effect algebras

The *effects* of a Hilbert space are $A : \mathcal{H} \to \mathcal{H}$ with $0 \le A \le I$. These model generic (noisy) measurements of a quantum system.

Definition

An effect algebra $(E, \bigcirc, 0, 1)$ has

- ▶ *partial* commutative associative ∅,
- with $a \otimes 0 = a$,
- and $\forall a$ unique a^{\perp} with $a \odot a^{\perp} = 1$,
- such that $a \perp 1$ implies a = 0.

Effect algebras

The *effects* of a Hilbert space are $A : \mathcal{H} \to \mathcal{H}$ with $0 \le A \le I$. These model generic (noisy) measurements of a quantum system.

Definition

An effect algebra $(E, \bigcirc, 0, 1)$ has

- ▶ *partial* commutative associative ∅,
- with $a \otimes 0 = a$,
- and $\forall a$ unique a^{\perp} with $a \odot a^{\perp} = 1$,
- such that $a \perp 1$ implies a = 0.

Examples

- [0,1] with $a^{\perp} := 1 a$.
- An orthomodular lattice: addition defined when a ∧ b = 0 and then a ⊗ b := a ∨ b.
- Cstar $(\mathbb{C}, \mathfrak{A}) \cong [0, 1]_{\mathfrak{A}}$ with $a^{\perp} := 1 a$.

- Let **BPos** be category of *bounded* posets (which have 0 and 1).
- Let **OMP** be category of orthomodular posets.

- Let **BPos** be category of *bounded* posets (which have 0 and 1).
- Let **OMP** be category of orthomodular posets.
- Forgetful functor OMP → BPos has left adjoint, called the Kalmbach extension.

- Let **BPos** be category of *bounded* posets (which have 0 and 1).
- Let **OMP** be category of orthomodular posets.
- Forgetful functor OMP → BPos has left adjoint, called the Kalmbach extension.
- Hence, there is a resulting Kalmbach monad
 K : BPos → BPos.

- Let **BPos** be category of *bounded* posets (which have 0 and 1).
- Let **OMP** be category of orthomodular posets.
- Forgetful functor OMP → BPos has left adjoint, called the Kalmbach extension.
- Hence, there is a resulting Kalmbach monad
 K : BPos → BPos.
- The Eilenberg-Moore algebras of this monad are precisely effect algebras.

Usage 1: Generalising GPTs

- In last talk John Selby talked about generalised probabilistic theories (GPTs) as generalisation of quantum theory.
- But we can also generalise GPTs

Usage 1: Generalising GPTs

- In last talk John Selby talked about generalised probabilistic theories (GPTs) as generalisation of quantum theory.
- But we can also generalise GPTs
- Instead of convex sets, we get effect algebras.
- Instead of a GPT we get an *effectus*.
- See Kenta Cho's thesis
 Effectuses in Categorical Quantum Foundations.

Usage 2: Generalising probabilities

Category of effect algebras has tensor products. Its monoids are *effect monoids*.

Usage 2: Generalising probabilities

Category of effect algebras has tensor products. Its monoids are *effect monoids*.

Definition

An **effect monoid** $(M, \bigcirc, 0, 1, \cdot)$ is effect algebra with associative distributive multiplication:

$$(a \otimes b) \cdot c = (a \cdot c) \otimes (b \cdot c)$$
 $c \cdot (a \otimes b) = (c \cdot a) \otimes (c \cdot b)$

Usage 2: Generalising probabilities

Category of effect algebras has tensor products. Its monoids are *effect monoids*.

Definition

An **effect monoid** $(M, \odot, 0, 1, \cdot)$ is effect algebra with associative distributive multiplication:

$$(a \otimes b) \cdot c = (a \cdot c) \otimes (b \cdot c)$$
 $c \cdot (a \otimes b) = (c \cdot a) \otimes (c \cdot b)$

Examples

- ► [0,1].
- Any Boolean algebra: $a \otimes b := a \vee b$, $a \cdot b := a \wedge b$.
- {f: X → [0,1] continuous} for a compact Hausdorff space X (i.e. unit interval of commutative unital C*-algebra).

[0,1] does not just have finite sums.
 Some countable sums exist too!

- [0,1] does not just have finite sums.
 Some countable sums exist too!
- ▶ In [0,1] a sum $\sum_{i=0}^{n} x_i$ exists when $\sum_{i=0}^{k} x_i \leq 1$ for all $k \in \mathbb{N}$.

- [0,1] does not just have finite sums.
 Some countable sums exist too!
- In [0,1] a sum $\sum_{i=0}^{n} x_i$ exists when $\sum_{i=0}^{k} x_i \leq 1$ for all $k \in \mathbb{N}$.

Definition (informal)

An ω -effect-algebra is an EA where an infinite sum exists if all finite subsums exist.

- [0,1] does not just have finite sums.
 Some countable sums exist too!
- In [0,1] a sum $\sum_{i=0}^{n} x_i$ exists when $\sum_{i=0}^{k} x_i \leq 1$ for all $k \in \mathbb{N}$.

Definition (informal)

An ω -effect-algebra is an EA where an infinite sum exists if all finite subsums exist.

Examples:

- ► [0,1]
- ω -complete Boolean algebra

- [0,1] does not just have finite sums.
 Some countable sums exist too!
- In [0,1] a sum $\sum_{i=0}^{n} x_i$ exists when $\sum_{i=0}^{k} x_i \leq 1$ for all $k \in \mathbb{N}$.

Definition (informal)

An ω -effect-algebra is an EA where an infinite sum exists if all finite subsums exist.

Examples:

- ► [0,1]
- ω -complete Boolean algebra

Equivalent definition

In ωEA increasing sequences $a_1 \leq a_2 \leq \ldots$ have supremum.

$\omega\text{-effect-monoids}$

Theorem (Westerbaan, Westerbaan & vdW, LICS'20)

An ω -effect-monoid M embeds into $M_1 \oplus M_2$ where

- M_1 is a ω -complete Boolean algebra
- $M_2 = \{f : X \rightarrow [0,1] \text{ cont.}\}$ for basically disconnected X.

$\omega\text{-effect-monoids}$

Theorem (Westerbaan, Westerbaan & vdW, LICS'20)

An ω -effect-monoid M embeds into $M_1 \oplus M_2$ where

- *M*₁ is a ω-complete Boolean algebra
- $M_2 = \{f : X \rightarrow [0,1] \text{ cont.}\}$ for basically disconnected X.

Corollary

 ω -effect-monoids are commutative.

$\omega\text{-effect-monoids}$

Theorem (Westerbaan, Westerbaan & vdW, LICS'20) An ω -effect-monoid M embeds into $M_1 \oplus M_2$ where

- M₁ is a ω-complete Boolean algebra
- $M_2 = \{f : X \rightarrow [0,1] \text{ cont.}\}$ for basically disconnected X.

Corollary

 ω -effect-monoids are commutative.

Call *M* irreducible when $M \cong M_1 \oplus M_2$ implies $M_i = \{0\}$.

Corollary

The only irreducible ω -effect-monoids are {0}, {0,1} and [0,1].

Usage 3: rederiving quantum theory

Effects on Hilbert space have sequential product: $A \& B := \sqrt{A}B\sqrt{A}.$

Usage 3: rederiving quantum theory

Effects on Hilbert space have sequential product: $A \& B := \sqrt{A}B\sqrt{A}.$

Definition (Gudder & Greechie, 2002)

Let *E* be effect algebra with operation & : $E \times E \rightarrow E$. Write $a \mid b$ when a & b = b & a.

(E, &) is a sequential effect algebra when:

- 1 & a = a and if a & b = 0 then also b & a = 0.
- If $a \mid b$ then a & (b & c) = (a & b) & c.
- If $a \mid b$ then $a \mid b^{\perp}$, and if also $a \mid c$ then $a \mid (b + c) \& a \mid (b \& c)$.

From sequential effect algebra to quantum theory

Theorem (vdW, 2019)

Let V be finite-dimensional order unit space, such that $E := [0,1]_V$ has norm-continuous sequential product. Then V is a Euclidean Jordan algebra. From sequential effect algebra to quantum theory

Theorem (vdW, 2019)

Let V be finite-dimensional order unit space, such that $E := [0,1]_V$ has norm-continuous sequential product. Then V is a Euclidean Jordan algebra.

Theorem (Westerbaan, Westerbaan, vdW, 2020)

Let *E* be directed-complete effect algebra with *normal* sequential product. Then $E \cong E_1 \oplus E_2 \oplus E_3$ where

- *E*₁ is complete Boolean algebra,
- $E_2 := [0,1]_V$ for V an order unit space,
- and E_3 an *almost-convex* effect algebra.

Conclusion

- Effect algebras generalise quantum logic to allow for fuzziness.
- Can be used to talk abstractly about probabilities.
- Can be used to rederive quantum mechanics.

Thank you for your attention!

vdW 2021, arXiv:2106.10094 A Categorical Construction of the Real Unit Interval

vdW 2018, arXiv:1803.11139 Sequential Product Spaces are Jordan Algebras

vdW 2018, arXiv:1801.05798 An effect-theoretic reconstruction of quantum theory

Abraham Westerbaan, Bas Westerbaan & vdW 2019, arXiv:1912.10040 A characterisation of ordered abstract probabilities

Kenta Cho, Bas Westerbaan & vdW 2020, arXiv:2003.10245 Dichotomy between deterministic and probabilistic models in countably additive effectus theory

Abraham Westerbaan, Bas Westerbaan & vdW 2019, arXiv:2004.12749 The three types of normal sequential effect algebras